



ALIGNED CAPITAL PARTNERSHIP

Due Diligence Questionnaire for Potential Investors

Aligned Capital Partnership Investment Trust

(ABN 52 704 511 804)

Due Diligence Questionnaire for Potential Investors


**ALIGNED
CAPITAL
PARTNERSHIP**

DRIVEN BY INDEPENDENT THINKING
VALUATION FOCUSED
+
NO MANAGEMENT FEES
NO INDEX HUGGING
NO LEVERAGE
+
NO NONSENSE



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1. Name of entity requesting to register as a potential investor in the Aligned Capital Partnership Investment Trust (ABN 52 704 511 804):
Contact Person:
Address:
Phone:
Email:

2. Country of Domicile:

3. Regulatory Body & Registration Number:

4. Please indicate the nature of the business / investing entity, and provide the requested details:

High Net Worth Private Individual Complete section 5.a

Superannuation Fund / Pension Fund / Trust / Company / Charitable Foundation Complete section 5.b

Other collective investment schemes and advisors. Complete section 5.c

5.a High Net Worth Private Individual:

Name of investor:

Please provide brief details of investment experience and knowledge of private investment partnerships:

5.b Superannuation Fund / Pension Fund / Trust / Company / Charitable Organisation:

Name of superannuation fund / pension fund / trust / company / charitable organisation seeking to make the investment:

Regulatory status, including Tax File Number and ABN where relevant:

Please provide evidence of pension or charitable status, brochure and annual report:

Note: Applications will not be accepted unless made expressly in the name of the superannuation fund, pension fund or charity, and Units will only be registered in the name of or on behalf of the pension or charity.



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5.c: Other Collective Investment Schemes and Advisors:

Name of investment fund:

Domicile of fund:

Name of investment fund advisor / manager:

Regulatory status of advisor / manager:

Please provide articles of incorporation, prospectus and most recent annual report of fund:

Please provide annual report or brochure of advisor / manager:

Note: Applications will not be accepted unless made expressly in the name of the fund, and Units will only be registered in the name of or on behalf of the fund. The fund or the advisor / manager must be regulated by ASIC (or equivalent regulatory authority) in relation to the conduct of investment management.

6. Please explain how you heard about Aligned Capital Partnership Pty Ltd and the Aligned Capital Partnership Investment Trust:

7. Please confirm that this approach is being made without any prior solicitation from Aligned Capital Partnership:

Yes

No



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8. Are you aware that the Aligned Capital Partnership Investment Trust is regarded as **unsuitable for those with investment time frames less than five years** and that Aligned Capital Partnership Pty Ltd, as the Trustee, reserve the right to redeem in specie, which may involve considerable administrative inconvenience for those redeeming?

Yes

No

Are you aware that Aligned Capital Partnership, as investment manager of the Aligned Capital Partnership Investment Trust, report twice yearly to investors in the Trust through our interim and annual letters, and that bespoke enquiries for further information may not be provided?

Yes

No

Are you aware that Units in the Aligned Capital Partnership Investment Trust are only available to Wholesale Clients, as defined in the *Corporations Act 2001 (Cth)*, and accordingly there is a minimum investment amount of \$500,000

Yes

No

9. This section is not compulsory, but it is helpful. It is important that investors in Aligned Capital Partnership Investment Trust have the same psychological disposition to investing as Aligned Capital Partnership does. Aligned Capital Partnership operates a queuing system for investors wishing to invest in the Trust. The information below is helping in ranking the queue. Could you therefore please provide documentary evidence of the following:

- a. the hiring and firing history of other investment managers,
- b. details of incentive compensation that you receive in running your pooled vehicles,
- c. tenure of those in investment decision making positions within your organisation.

Please note that Aligned Capital Partnership may accept or reject any application in its sole discretion, including where any one of the above criteria is not fulfilled to our satisfaction. Please allow good time for this process to take place. It is the applicant's responsibility to provide information.

I testify that the above information is accurate and complete in all material facts.

Signed: _____

Name: _____

Title: _____

Date: _____